EXHIBIT F

PATENT IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Jason Sterne et al.

For METHOD AND SYSTEM FOR

> USING A QUEUING DEVICE AS A LOSSLESS STAGE IN A NETWORK DEVICE IN A COMMUNICATIONS

NETWORK

Serial No.: 11/377,578

Filed March 17, 2006

Art Unit 2419

Examiner Hong Sol Cho

Att. Docket ALC 3229

Confirmation No. 5342

PRE-APPEAL BRIEF REQUEST FOR REVIEW

Mail Stop AF Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This is in response to the Final Office Action dated March 25, 2009 and further to the Notice of Appeal filed concurrently herewith.

Claims 1, 2, 4-10, 12-22, 24-30 and 32-36 are pending in the present application, of which claims 1, 9, 21, and 29 are independent. Applicant hereby requests review of the rejections in the above-identified application in view of the arguments presented in detail herein.

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REJECTION UNDER 35 U.S.C. § 103

On pages 2-4, the Office Action rejects claims 1, 2, 4-10, 12-18, 20-22, 24-30,

and 32-36 under 35 U.S.C. § 103(a) as allegedly being unpatentable over U.S.

Publication No. 2005/0185581 to Bradford et al. (hereinafter "Bradford") in view of

U.S. Patent No. 7,408,876 to Gupta et al. (hereinafter "Gupta"). On page 4, the

Office Action rejects claim 19 as being unpatentable over Bradford in view of Gutpa

and further in view of U.S. Publication No. 2002/0163885 to Assa et al. (hereinafter

"Assa"). Applicant respectfully traverses these rejections.

Claim 1 recites, in part, "sending a message reporting the depth of the queue

to the <u>upstream device</u> to thereby <u>enable the upstream device to determine</u> whether

to increase the rate at which it sends packets to the queuing device" (emphasis

added). Independent claims 9, 21, and 29 contain similar recitations.

This subject matter was added by way of the Amendment filed on March 3,

2009. Support in the specification for this subject matter can be found in, for

example, paragraphs [0027] and [0038]. As described in the specification, by

sending the queue depth, rather than only reporting threshold crossing events, the

queue depth monitoring system provides for error recovery in the event that it

makes a mistake or that a message is lost or corrupted. See paragraph [0027].

The previous Office Action dated January 6, 2009, cited Bradford to reject the

subject matter of claims 3, 11, 23, and 31, subject matter that is now recited in

independent claims 1, 9, 21, and 29, as quoted above. In the rejection of

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independent claims 1, 9, 21, and 29, the Office Action fails to address the language

quoted above under 35 U.S.C. § 103(a). Rather, the Examiner addresses this

subject matter in the "Response to Arguments" in section 4 on page 5.

In particular, the Office Action alleges that Gupta discloses this subject

matter in Figure 8, elements 204 and 208 and Figure 10, elements 224 and 232.

Applicant respectfully disagrees with this assertion. Figures 8 and 10 describe

sending a congestion message to the ingress queue manager when the egress queue

exceeds the upper threshold. See Gupta, Column 12, Lines 5-45. After receiving

the congestion message, the ingress queue manager causes the ingress queue

responsible for the congestion to slow down the rate at which packets are dequeued

to the congested egress queues. Id.

In other words, the system of Gupta sends a message instructing the ingress

queue to slow down the rate at which packets are dequeued, rather than sending a

message reporting the depth of the egress queue and letting the ingress queue

determine the appropriate action (i.e., whether to increase to decrease the rate of

packets dequeued). Thus, the system of Gupta, by only sending threshold crossing

events rather than actual queue depths, lacks the error recovery functionality

described above.

In addition to the failure of Gupta to disclose, teach, or suggest this subject

matter, the Office Action also fails to provide any reasoning regarding the purported

obviousness of the independent claims. As the Supreme Court emphasized in KSR

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v. Teleflex, 550 U.S. 398 (2007), "Rejections on obviousness cannot be sustained by

mere conclusory statements; instead, there must be some articulated reasoning

with some rational underpinning to support the legal conclusion of obviousness."

Here, the Response to Arguments section simply cites two figures of Gupta without

providing any reasoning regarding the conclusion of obviousness.

To summarize, Applicant respectfully submits that the references of record

fail to disclose, teach, or suggest "sending a message reporting the depth of the

queue to the upstream device to thereby enable the upstream device to determine

whether to increase the rate at which it sends packets to the queuing device." as

recited in independent claim 1 and similarly recited in independent claims 9, 21,

and 29. Furthermore, the Office Action fails to present articulated reasoning

regarding the conclusion of obviousness.

For at least the foregoing reasons, the Final Office Action fails to present a

prima facie case of obviousness for independent claims 1, 9, 21, and 29. Thus,

Applicant respectfully submits that independent claims 1, 9, 21, and 29 are

allowable.

Claims 2 and 4-8 depend from independent claim 1, claims 10 and 12-20

depend from independent claim 9, claims 22 and 24-28 depend from independent

claim 21, and claims 30 and 32-26 depend from independent claim 29. Accordingly,

claims 2, 4-8, 10, 12-20, 22, 24-28, 30, and 32-36 are allowable based at least upon

their respective dependencies from allowable base claims. Therefore, Applicant

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respectfully requests that the rejections of claims 1, 2, 4-10, 12-18, 20-22, 24-30, and

32-36 under 35 U.S.C. § 103(a) be withdrawn.

CONCLUSION

While we believe that the instant pre-appeal brief request for review places

the application in condition for allowance, should the Examiner have any further

suggestions, it is respectfully requested that the Examiner contact the

correspondence attorney listed below at the telephone number listed below in order

to expeditiously resolve any outstanding issues.

In the event that the fees submitted prove to be insufficient in connection

with the filing of this paper, please charge our Deposit Account Number 50-0578

and please credit any excess fees to such Deposit Account.

Respectfully submitted,

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